



NEW YORK  
REGIONAL OFFICE

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
100 PEARL STREET, SUITE 20-100  
NEW YORK, NY 10004-2616

April 18, 2022

**BY ECF**

The Honorable J. Paul Oetken  
United States District Judge  
Southern District of New York  
United States Courthouse  
40 Foley Square  
New York, NY 10007

Re: **SEC v. James H. Im, No. 17-cv-03613-JPO (S.D.N.Y.)**

Dear Judge Oetken:

Plaintiff Securities and Exchange Commission ("SEC"), with the consent of Defendant James H. Im, respectfully submits this letter seeking an adjournment of the opening statements and the evidentiary portion of the trial until Wednesday, April 27, 2022.

As you know, the jury trial in this matter is scheduled for Monday, April 25, 2022. Over the past weekend, however, a member of the SEC trial team received a positive result on a COVID-19 test. Based on the SEC's assessment and the Court's latest COVID-19 rules, we believe that everyone on the SEC trial team can return safely on April 27<sup>th</sup>.

In light of this development, the SEC respectfully requests that while the jury selection begin as scheduled, on April 25<sup>th</sup>, the opening statements and the evidentiary portion of the case begin on April 27<sup>th</sup>.

Respectfully submitted,

/s/ Richard Hong  
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cc: Counsel of record (via ECF)